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PATENT

Attorney Docket No. CASE-03330

Applicant / Patentee: Richard P. Woychik *et al.*
Serial No.: 09/103,846
Filed: 06/24/98
For: **ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR §§ 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the university (or other institution of higher education) identified below:

CASE WESTERN RESERVE UNIVERSITY
10900 Euclid Avenue
Cleveland, Ohio 44106-4943

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under §§ 41(a) and (b) of Title 35, United States Code with regard to the invention entitled **ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS**, by inventors named **Richard P. Woychik *et al.***, described in Application Serial No. 09/103,846, filed 06/24/98.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: 9/3/98

By: Richard A. Zdanis

Name: Dr. Richard A. Zdanis

Title: Provost and University Vice President
CASE WESTERN RESERVE UNIVERSITY
10900 Euclid Avenue
Cleveland, Ohio 44106-4943



DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS**, the specification of which was filed on 06/24/98 as Application Serial No. 09/103,846. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Richard P. Woychik**

Inventor's Signature: *Richard P. Woychik*

Date: 08-10-98

Residence: 24642 Maidstone Lane, Beachwood, Ohio 44122

Citizenship: United States of America

Post Office Address: 24642 Maidstone Lane, Beachwood, Ohio 44122

Full Name of Second Joint Inventor: **Terry R. Magnuson**

Inventor's Signature: *Terry Magnuson*

Date: 08-10-98

Residence: 3061 N. Park Blvd., Cleveland Heights, Ohio 44118

Citizenship: United States of America

Post Office Address: 3061 N. Park Blvd., Cleveland Heights, Ohio 44118

Full Name of Third Joint Inventor: **Ellis D. Avner**

Inventor's Signature: *Ellis D. Avner MD*

Date: 08-10-98

Residence: 25485 Penshurst Drive, Beachwood, Ohio 44122

Citizenship: United States of America

Post Office Address: 25485 Penshurst Drive, Beachwood, Ohio 44122



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PATENT

Attorney Docket No.: CASE-03330

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard P. Woychik *et al.* Group No.: 1643
Serial No.: 09/103,846 Examiner:
Filed: 06/24/98
Entitled: ALLELIC SERIES OF GENOMIC MODIFICATIONS IN CELLS

POWER OF ATTORNEY BY ASSIGNEE

Assistant Commissioner for Patents
Washington, D.C. 20231

CASE WESTERN RESERVE UNIVERSITY, as Assignee of record of the entire interest of the above-identified patent application, hereby appoints the members of the firm of MEDLEN & CARROLL, LLP, a firm composed of:

| | |
|---------------------|---------------------|
| Virginia S. Medlen | (Reg. No. 32,050) |
| Peter G. Carroll | (Reg. No. 32,837) |
| Kamrin T. MacKnight | (Reg. No. 38,230) |
| David A. Casimir | (Reg. No. P-42,395) |

as its attorneys with full power of substitution to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith.

Please direct all future correspondence and telephone calls regarding this application to:

| | |
|-----------------------------------|-------------------------|
| Peter G. Carroll | |
| MEDLEN & CARROLL, LLP | |
| 220 Montgomery Street, Suite 2200 | Telephone: 415/705-8410 |
| San Francisco, California 94104 | Facsimile: 415/397-8338 |

I hereby certify that the Assignment document filed with the application or filed subsequent to the filing date of the application, has been reviewed and I hereby certify that, to the best of my knowledge and belief, title is with CASE WESTERN RESERVE UNIVERSITY.

Dated: 9/3/98

By: *Richard A. Zdanis*

Name: Dr. Richard A. Zdanis

Title: Provost and University Vice President
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